## Exhibit "G"



## **FINRA Dispute Resolution**

## Order

Case Number: 16-00033  Case Name: Ecleony Capital Groups LLC VS Michigan Falel & Querige USA Vs. M. Alstynes & Evan Issues Addressed: (ie., name of motion or request, by which party) Schwarthou for the contraction of the c
Pre-Hearing Conference Held?:
Date/Time: 3/26/17 2.30P/V/
Participating in the conference were:
Chairperson: Julian Frankos
Panelist: Rul George Huck
Panelist: John Caracciolo
Claimant's Representative: Eric Stern, Enc Seedan Verg
#1 Respondent's Representative: Jeffry Platken
#2 Respondent's Representative: Gay Trachlen, D Sayonara
FINRA Dispute Resolution Staff: arthew Baumgartnew
Decided by: ○ Chairperson → Panel (circle one)
Rulings:1
After considering the pleadings submitted by the parties (and oral arguments, if pre- hearing conference held), the Panel/Chairperson rules as follows:

<sup>1</sup> If more space is needed, add additional pages.

1
2. See attachment A
3
The parties should comply with this order by
If the parties settle this matter prior to the hearing, the forum fees for this pre-hearing conference (or discovery-related motion decided without a pre-hearing conference) are assessed as follows:
% assessed to % assessed to % assessed to % assessed to
Chairperson, On behalf of the Arbitration Panel

FINRA # 16-00033 Odeon Capital Group vs. Mihir J. Patel and Aurega USA vs. Mathew Van Alstyne and Evan Schwartzberg

## **ATTACHMENT A**

A PHC call was held on Monday, March 20th, 2017 at 2:30 PM to hear arguments in connection with

- 1) A Motion to Dismiss Odeon's claim by Auriga dated1/17/2017
- 2) A Motion to Dismiss Odeon's claim by Patel dated 1/20/2017
- 3) A Motion by Odeon to compel production of documents by Auriga dated 1/30/2017 and
- 4) a Motion by Patel to compel production of documents by Odeon dated 3/6/2017.

Participants were Eric Stern and Eric Seidenberg for Odeon, Gary Trachten and D. Sayonara for Mihir Patel, Jeffrey Plotkin for Auriga, FINRA representative Arthur Baumgartner and Arbitrators John Caracciolo, Paul George Huck and Julian F. Santos.

After hearing arguments from the parties and reviewing the submissions in connection with the motions delineated above, the Panel rules as follows.

Auriga's Motion to Dismiss is denied. The associated motion for sanctions is denied with leave to apply at the hearings.

The Patel Motion to Dismiss is denied. The associated motion for sanctions is denied with leave to apply at the hearings.

Odeon's Motion to Compel production of documents is granted for the period from 11/1/2015 to 3/31/2016. Responses are due 4/1/2017.

Patel's Motion to Compel production of documents between Mathew Van Alstyne, Evan Schwartzberg and others at Odeon concerning Mr. Patel, including email correspondence is granted. Responsive documents or certification that no such documents exist due no later than 4/1/2017.